



16698 U.S. PTO

In the United States Patent and Trademark Office

Mailed ~~199~~ MAR 22, 2004

Box Patent Application
Assistant Commissioner for Patents
Washington, District of Columbia 20231

17858 U.S. PTO
10/805314

Fee Transmittal

First-Named Applicant Mark S. TenneyTitle of Invention: " FINANCIAL REGIME-SWITCHING VECTOR AUTO-REGRESSIONTotal Payment Enclosed (From Calculation Below): \$ 428.00 Check Money Order

Sir:

Enclosed is the following small entity filing fee for the above patent application:

Fee Code	Fee Description	Fee (\$)
214	Provisional Pat. Appn. Filing Fee	
201	Basic Utility Appn. Filing Fee	<u>385.00</u>
206	Basic Design Appn. Filing Fee	
Subtotal (1)		<u>385.00</u>
203	Total Claims: <u> </u> - 20 = <u>0</u> ; X <u> </u> (fee for each claim over 20) = <u>0</u>	
202	Tot. Indep. Claims <u>4</u> - 3 = <u>1</u> ; X <u>43</u> (fee for each indep. claim over 3) = <u>43.00</u>	
Subtotal (2)		<u>428.00</u>
Total Payment Enclosed [Sum of Subtotals (1) and (2)]		<u>428.00</u>

Very respectfully,

Signature of First-Named Applicant

MARK S. TENNEY

Print Name of First-Named Applicant

4313 LAWRENCE ST

Address

ALEXANDRIA VA 22309

BEST AVAILABLE COPY



16698
032204
U.S. PTO

In the United States Patent and Trademark Office

Box Patent Application

Mailed-100- MARCH 22, 2004

Assistant Commissioner for Patents

Washington, District of Columbia 20231

Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name: MARK S. TENNEY

Applicant #2, Name: _____

Title: FINANCIAL REGIME-SWITCHING VECTOR AUTO-REGRESSION

Specification, Claims, and Abstract: Nr. of Sheets 173

Declaration: Date Signed: March 22, 2004

Drawing(s): Nr. of Sheets Enc.: Formal: 11 Informal: _____

Small Entity Declaration of Inventor(s) SED of Non-Inventor / Assignee / Licensee

Assignment enclosed with cover sheet and recordal fee; please record and return.

Check for \$ 428.00 for:

\$ 428.00 for filing fee (not more than three independent claims and twenty total claims are presented).

\$ _____ additional if Assignment is enclosed for recordal.

Information Disclosure Statement, Form PTO-1449, and listed references.

Disclosure Document Program reference letter.

Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr. _____ filed _____

Return Receipt Postcard Addressed to Applicant #1.

Request Under MPEP § 707.07(j): The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

Very respectfully,

Mark Tenney
Applicant #1 Signature

Applicant #2 Signature

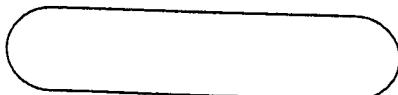
4313 LAWRENCE ST
Address (Send Correspondence Here)

Address

ALEXANDRIA VA 22309

BEST AVAILABLE COPY

Express Mail Label #



; Date of Deposit 199 _____